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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: W. Menhardt et al.

Entitled: **Architecture for an Application Framework**Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

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PATENT APPLICATION TRANSMITTAL LETTER

This is a request for filing a Continuation application claiming priority of prior PCT application No. PCT/CA98/01099, filed on December 1, 1998, which is a Continuation-In-Part of U.S. Serial No. 08/982,280, filed on December 1, 1997, by the following named inventor(s):

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1. ☒ Enclosed is 27 pages of the specification, 1 pages of claims, and 1 page of abstract.
2. ☒ The filing fee is calculated on the basis of the claims.

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL Claims	00 -20=	0	x \$ 18.00 =	\$0.00
INDEPENDENT Claims	0 -3=	0	x \$ 78.00 =	\$0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			1 x \$ 130 =	\$0.00
BASIC FEE				+ \$ 690.00
TOTAL OF ABOVE CALCULATIONS =				\$0.00
REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY (Note 37 C.F.R. 1.9, 1.27, 1.28). IF APPLICABLE, VERIFIED STATEMENT MUST BE ATTACHED.				\$
Total =				\$690.00

3. ☒ The Commissioner is hereby authorized to charge fees under 37 C.F.R. §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 10-0447, reference 48906.2USPT(BAI).
4. ☒ A check in the amount of \$690.00 for the application fee is enclosed.
5. ☒ Applicant is a large entity.
6. ☒ This application claims priority to a prior patent application. Please insert --This application in a continuation of PCT patent application No. PCT/CA98/01099, filed on December 1, 1998, which is a continuation-in-part of U.S. Serial No. 08/982,280, filed on December 1, 1997.--

A copy of the International Application published under the PCT is enclosed.

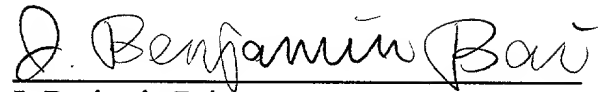
7. ☒ 8 informal drawings are enclosed.
8. ☒ Address all future communications to:

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9. ☒ Also enclosed

1. Certificate of Express Mailing No. EK287122836US
2. Postcard
3. Declaration and Power of Attorney (unsigned)

Respectfully submitted,
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Application for U.S. Patent

TITLE: ARCHITECTURE FOR AN APPLICATION FRAMEWORK

APPLICANTS: WIDO MENHARDT, PATRICK HEFFERNAN

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ARCHITECTURE FOR AN APPLICATION FRAMEWORK

This invention relates to an application framework, which is based on a component model and that may be adapted for various applications.

5 BACKGROUND OF THE INVENTION

In an application domain such as in medical care, tasks being performed in a hospital for example, are cross departmental and involve several people, each with a different role. These coordinated activities are typically referred to as "Workflow", with an example being the processing that occurs as a patient moves through the hospital "system".

- 10 The first step is "admitting", which may be followed by an examination and then detailed lab work and imaging. This is followed by a review of the information with recommendations for further tests, or treatment. There are various tools that operate in this enterprise-wide workflow. For example in the imaging part of this process there are specific software tools that are required for the imaging (scanner consoles), image review
- 15 and the treatment system. Thus it is desirable that the software tools that operate in this enterprise-wide workflow may be simply integrated.

- There is thus a need for a single application framework. This framework should define how components from different sources can be mixed to generate various coherent "applications". This approach does not mean that the framework is a pre-requisite to the
- 20 use of those components — it is desirable that the individual components can be used outside the framework (e.g., there will be simple applications that do not need the services of the framework, but they should still be able to use some of the components that are generated for this architecture).

- It may be noted that in a given "application" may be defined by a main workflow,
- 25 with sub workflow's within this main application, if one is concerned with both "complex applications", and with applications that span multiple users (i.e., enterprise-wide workflow). The aim here is to consider only a single "application" — a useful distinction is to consider that there is "workflow" within an application (which is to be supported by the framework), and there is also a "Workflow" at a higher level within, for example, the
- 30 hospital.

Users in a particular workflow have to use components from different vendors, which have different interfaces or paradigms. Thus the user has to relearn new procedures resulting in errors and inefficiency. In a typical application the user is presented with a

selector for data, and then a set of "protocols" or tasks that can be performed on that data. With the closer integration of enterprise-wide work-flow, this model should evolve so that the data and protocol may be specified externally (e.g., in a work-list).

5 SUMMARY OF THE INVENTION

The present invention thus seeks to provide a framework for an application so that the application is built around a multiple levels of functionality, which may or may not be visible to the user. Three levels of functionality are provided as follows.

10 The framework also provides functionality for guiding a user through a selected work-flow.

The first is a process - this might also be called a "protocol", as it encapsulates a set of data plus a definition of the processing steps to be applied. An example of a process instance is a study, which is being viewed in a 2D protocol. This level is managed by the framework - and there can be multiple "processes" active at the same time (e.g., the user
15 can be reviewing one study with one process, and at some point switch to reviewing a study from a different patient - the framework manages the different process instances that may be active). In a simple case, a system may hide selection at this level, and present a single process to a user. A process consists of a set of "activities", with the possibility of automated control flow from one activity to the next, based on properties of the activities,
20 and external rules.

Second is a sub-process - although this is listed as the next level in the hierarchy, it is really just a grouping of activities. This aggregation of activities is useful for reuse, convenience of navigation and grouping activities with shared tools.

Generally a process will make activities visible to the end user, which may even
25 need to have the flexibility to switch the order of activities, based not on pre-programmed logic. Thus the sub-process level will present a user interface for navigating between activities, and for indicating the current position in a process.

A third is an activity - this is the lowest level of the hierarchy that is explicitly supported by the framework (an activity can internally present a deeper model, if
30 required). An activity will have external properties, which are altered as the result of any processing, and can then be used to achieve sequencing of activities within a process. It is possible to dynamically (at run time) add or remove activities to a process, so that, for

example, a process can provide different functionality based on the results of earlier activities. Each activity is implemented as a separate component.

In addition a "process definition" is the "program", or processing steps that comprise a process. This must be retrieved from persistent storage before a process can be started, so that the activities, tools and control logic can be instantiated for the requested process. A "process instance" refers to a running version of a process - i.e., the process definition plus the data and state information used while it is running. The framework can support multiple process instances - to the user will simply look like different contexts which can be using different data and processing, and which can be switched to be the foreground task.

In order to the framework to support reuse of activities from different sources, it is desirable to define user interface model. Here the approach taken is direct mapping of each of the above levels to a region on the UI, with the addition of support for "tools". The largest part of the UI is assigned for the current activity - this is called the "work area" here. The two levels in the hierarchy ("process selection" and "sub-process navigation") and tools support are assigned 3 separate control areas on the UI. The details of this model are in the following sub-section.

The model explicitly supports a model of "tools" associated with an activity. Support for tools raises the question of what UI mechanisms need to be supported for tools. The simplest model is to assume that there will be single region of the UI, with tools free to use this in any manner. Applications such as the Viewing Wand also require support for additional tools areas - e.g., a second tools area with icon buttons (which in turn have pop-up windows for specific parameter setting). The framework should thus support a more general model where there can be multiple tool areas. However it is suggested that the first implementation stick with the single tools area, but not preclude later support for multiple tools areas (it is expected that this document will be updated to include this).

BRIEF DESCRIPTION OF THE DRAWINGS

A better understanding of the invention will be obtained by reference to the detailed description below in conjunction with the following drawings in which;

Figure 1 is a schematic diagram of a user interface(UI);

Figure 2 is a schematic diagram of a tool manager;

Figure 3 is a schematic diagram of a sub-process UI;
 Figure 4 is a schematic diagram of the system architecture;
 Figure 5(a) is a further embodiment of a UI;
 Figure 5(b) is a schematic diagram showing support processes for a 2D viewing
 5 component;
 Figure 6 is schematic diagram of a framework and the contexts it manages;
 Figure 7 is an expanded schematic view of a single process instance;
 Figure 8 is a further schematic diagram showing system components;
 Figure 9 is a schematic diagram of a process navigator UI;
 10 Figures 10, 11 and 12 are schematic diagrams of a database selection and related
 views.

DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

Referring to figure 1 an overview of the default user interface associated with an
 15 "application" which uses this framework is shown. The interface defines four areas namely
 work area, process selector, sub-process navigator and tools area. The default
 configuration has the work area on the right, with the 3 other regions stacked on the left in
 a single column

This work area is typically used for the display of images, or any secondary user
 20 interface that is required by an activity. The current activity owns the work area. The
 framework arbitrates the ownership of the work area. The contents of the work area may
 be preserved between activities — description of the contents of the area can be shared
 using properties stored in the "shared data context".

Although the contents of this area are "arbitrary", it is likely that activities will
 25 need to share information about the contents. For example, an activity that allows some
 manipulation of the displayed images would need to be able to determine the layout of
 windows in the region, the pipelines used to display within that layout, parameters of the
 data that is being displayed, etc. This information will be part of the "shared data context".

In the shared tools area, although an activity only "owns" the work area, it can
 30 notify the framework that it is interested in the presence of certain tools. Each activity can
 use some set of tools — and these sets may overlap. The tools area is owned by a tool
 manager — this is itself a component that acts as a container for the individual tools. The
 tool manager has a particular UI — e.g., it could display the individual tools using a tab-

card model. Since the tool manager is itself a component, it can be replaced by a different manager. Each tool is simply a user interface that displays a UI in the region handed it by the container (e.g., a tab-card). The tool interacts with the activity by setting properties in the interactive context, based on the user actions. The current activity can then use those properties to update its own behavior.

Figure 2 is an example of the tools that might be presented in this area when the current activity is a volume-rendering task. In this example, the tool manager is a tab-card container.

The smallest entity in this model is the "activity". Each activity has ownership of the "work area" when it is active. An activity can also express interest in common tools, which the framework then arranges to place in the "tools" area. These tools provide user input, which is mediated by the framework — e.g., tool output is placed into properties in the interactive context, and the activity is notified of changes to those properties.

The contents of an activity are arbitrary, but one example could be a volume rendering component. This component would indicate an interest in tools like "render selector", "Light control", and "clipping tool" — which the framework would then arrange to have installed into the tools area (as illustrated above). In addition to the common tools, the activity can receive user input from the work area (e.g., window W/L may be changeable via mouse motion). The activity that owns the work area would have the freedom to use mouse events from that area for any purpose, and can of course display any sort of user interface in that area.

Although the activity is free to use the mouse within the work-area for any purpose, it is recommended that the mouse events be reported (as a property in the interactive context). This way the current tool can also use the mouse events (e.g., the W/L tool could use the mouse event to update the current W/L values, which are reported back in the properties in the interactive context).

The sub-process level in the hierarchy is really only here to aid navigation through the activities in the process. This area will also be owned by a component, and thus a different UI model can be achieved by supplying an appropriate component. There are several possible alternate displays for this level:

- a) display of the name of the current activity, and next/previous/finish buttons for navigation (perhaps inactive, when the corresponding action is not allowed).

b) a flow view, with activities named, and represented in a network diagram (presumably scrolled, if it is too large to fit into the window). Selection of an activity box switches the current activity to that one (again, some transitions may be disallowed, and this could be indicated by greyed-out representations). Figure 3 shows an example of a first UI.

Turning back to figure 1, the process area is also a component. This area is customizable by supplying an appropriate process selector component. This area will display the following: a button to invoke a data selector (which includes selection of a matching process definition); a mechanism to switch between process instances (if more than one is active); and information about the current data-set (e.g., the patient name)

The data selector will allow browsing of the data sources (e.g., selection from amongst the sources, if there is more than one), and then selection of the patient/study/series, etc. At this time the user will be presented with a selection of the process definitions (basically protocols) that can be invoked with this data.

This example selector is not the only possibility — another model is one where the "data selector" simply displays the work-list (which is obtained from an external workflow engine), and then the user can select the next item to process. This would include the data-set to use, and the process definition to use.

Another example of a selector is one that uses the opposite model — the user selects a process definition (protocol), and then the candidate data-sets are displayed for selection. An example of this is where the user selects a "cardiac" protocol, and then the data-sets which are suitable for that protocol are shown.

A given process selector might also allow control of the level of information displayed in this area. For example:

status information only — the display would only show information about the current process (e.g., its type), and the current data-set (e.g., the patient name).

a user interface for selecting a data set (from a database), and then a list of process definitions that can be used to view that data.

editable process list. This is the case where the user (perhaps an advanced end-user, or an administrator) needs to assemble new or customized process definitions. The UI in this case might present a list of pre-defined process definitions; and for each defined process, the sub-processes that make it up; and for each sub-process, the activities it includes. The UI would allow the copying, editing, and creating of new process

definitions. There would also need to be a mechanism to filter processes (e.g., present a sub-set). Another UI is required to be able to program the logic for the sequencing of activities.

The interface may also be customized. As discussed above, it is likely that a specific application may require that some part of the default user interface not be displayed. Thus the framework should support hiding, and programmability level selection, for the process and sub-process viewers (navigators). The default is to display the process, sub-process and common tool areas at the left side of the screen. The relative sizes of these areas should be configurable. The framework should also allow them to be placed on any of the edges (top, bottom, left and right). Consideration should also be given to allowing these areas to be floating ("dock-able", like the tool areas in Microsoft Visual Studio).

As discussed above, the contents of the 3 areas (process, sub-process and tools) are themselves components, and so the look and functionality of those areas can also be customized in detail by providing alternate versions of those controls.

In order to be completely flexible, the process, sub-process and common tool areas should be capable of being hidden. When run in this mode, the user interface has to be provided externally — the equivalent inputs will have to be provided via run-time binding to the APIs provided by the framework. This mode still uses the framework. It is also possible to use the activities (i.e., those components) without the framework, in which case there is no process instance (and hence no shared data), and the container has to directly provide the appropriate inputs (data-set and input from the user).

The above discussion has made no comment about how the user interface fits into the desktop environment. There will be more than one possible way of achieving this — the UI can be embedded into a web browser like Internet Explorer, or it can be hosted by some other container which handles ActiveX controls. With IE 4.0 and the next Windows and NT releases, this capability extends to the desktop and many of the other tools on the system, so there are a number of choices for the system designer.

The architecture is based on the ActiveX model. This does not mean that it is limited to systems running a Microsoft operating system — ActiveX is supported on NT and Windows95, but it will also soon be supported on the Macintosh and various UNIX versions. Of course the use of the framework on any of these architectures implies that the individual components that are required there also need to be built for that architecture.

The use of the ActiveX model also does not dictate a language for the implementation of the components — any language that is capable of generating ActiveX controls can be used (this currently includes VC++, VB 5.0 and Java).

Although the preceding section discussed the user interface, that break-down also suggests how the underlying components should be structured.

Referring to figure 4 an overview of the architecture is shown, with the following discussion describing each of the components in more detail.

The framework component is central to the architecture. It serves as a container for the other components, although it is itself an ActiveX component (and so will be hosted in another container). This component encompasses the full UI: work area + process/sub-process/common-tool areas. It has properties that allow customization of the areas (as described with respect to the UI section, above). It includes a module that interfaces to a database (library) of process definitions, sub-process descriptions and the information about the activities. This module will support the process selector/editor (a separate component), and the storing and retrieval of process definitions (sequences of activities and the logic for sequencing between them).

It includes a module that manages the sequencing of activities. This module views a set of properties. Changes to these properties causes the evaluation of some logic which then determines the next action — e.g., move to the next activity, or even change the programmed activities. The activities will interact only with this module for sequencing, but internally it could rely on an external implementation — e.g., use an external workflow engine. The sub-process navigator will make use of this functionality.

It includes a module that manages the shared data context. This is logically separated from the information required for sequencing (and they might be implemented differently). The information in the current context includes things like the current dataset(s) and also temporary information. Temporary information would include things like the screen layout, the PrS pipelines in use, etc. The framework should not require specific information, nor rely on the presence of particular attributes. However it is important that cooperating activities can share information, so there will be conventions on how data is to be found in the current shared data context. The idea is that the DICOM object model will be adopted, and so activities would locate patient, study, series or image objects in the current shared data context. The framework maintains properties on these objects. By convention, one property will be a pointer to the corresponding record in the database (see

OLE-DB section, below). Other candidate properties are the external handles of preserver objects which have loaded data from those objects. These objects in the current shared data context will not themselves maintain information that is stored in the database (unless an activity explicitly adds it as a property) — the expectation is that the activities will go
5 directly to the data source for that information.

The process selector is an ActiveX control. This ActiveX control owns the process area of the UI. Within this area it displays certain information (as described above in the UI section), and also can invoke a data selector. The particular data selector to be used is configurable.

- 10 The data selector is a class of component that allows the user to select a data-set and a process definition to be used. The UI section above described a couple of different models that can be used for this component.

- One example of this class is a component that presents a work-list, allowing the user to select the next one to read. The selection of an item causes the central work-flow engine to be updated to reflect this status (so other users won't simultaneously read the
15 same study). Another example of this class is a more conventional model which allows selection of the data source (e.g., from an OLE-DB enumerator), and then selection of a patient, study, series — with selection of a process definition to match.

- Once the data-set and process are selected, the data-set forms the basis of a new
20 shared data context, and the process defines the activities that are to be instantiated and which can then be navigated in the sub-process area.

- The Sub-process navigator component is responsible for displaying a UI that can be used to navigate the activities in the current process. It is given a region on the screen (configurable). It uses the services of the framework for actually performing the
25 navigation.

The tool container component manages the set of tools that are active while a specific activity is "current". The tool container provides a means for navigating between those tools — e.g., it may use a tab-card model.

- Activities request the services of classes of tools. Thus there could be a tool that
30 provides "window width and level control". The framework would have a registry of such mappings, and when a specific activity is instantiated, it registers its interest in these classes, which the framework then arranges to have displayed when that activity is current.

The framework supports a process, but any work is actually performed by the activities it contains. Some examples of the types of components that might be developed as activities include:

5 a component that provides basic 2D viewing of a study in the current shared data context. The functionality would include: layout control, zoom and pan, cine, etc, although the UI for these controls would be provided via tools.

a component that allows the user to enter a report, perhaps including annotated images. The completion of the report would be indicated by the user, and would in turn signal to the remote work-flow engine that the current work item is completed. This would
10 also trigger the next activity, which in this case may simply be to return to the first activity — selection of a new work item from a displayed work-list.

The life-time of the component that is an activity is controlled by the framework. The framework instantiates an activity when the containing process is started, and destroys it when the process completes. When an activity is created, it is given access to the shared
15 data context. The framework also has an explicit model of the "current" activity — when an activity becomes "current" it can obtain ownership of the work area. An activity can set and get attributes in the shared data context (e.g., properties of the objects there). The activity also can get and set arbitrary attributes in the control area — this set of attributes controls the sequencing of activities.

20 The mechanism used to notify changes to the current shared data context could be based on the OLE connection model. In any case, the mechanism supports the notification to a component of changes in the context.

The OLE-DB is used as a common standard for accessing all data sources. The data model is based on the DICOM object model. Since the framework will be used to build
25 these applications, then the framework will adopt these same conventions. This means that the data sources will remain external to the framework, with the interface to them provided via OLE-DB.

The framework provides a model of a current shared data context which contains the data that is currently being used by the process. The data is not actually stored within
30 the framework — rather the framework maintain a set of objects which can have arbitrary properties. These objects will be linked into some hierarchy that reflects the DICOM object model (this will be created by the component that provides data selection services). A property on each object will be used to store a reference to the data source (likely a

OLE-DB source with an associated row identifier). Activities will also use the properties to store information that should be shared across activities — e.g., the external handles of rasters in the preserver which have copies of the pixel data associated with those objects. The framework will support the notification of changes to the properties.

- 5 Other services associated with the shared data will be provided via OLE-DB (e.g., caching, transactions, notification of changes to the data, etc). Activities can make use of these services directly.

Although activities see only a single shared data context, the framework can maintain multiple contexts, each part of a different process instance (and hence with a different set of activities and tools). This support is hidden from the individual activities and tools.

- 10 The model is based on a shared data context, which contains the current data sets rather than the data flow model. However, this can be mapped to a model where data flows from one activity to the next. This can be achieved by having the results of one activity placed into the current data context (not replacing the input to that activity). For example, if an activity takes a data set and filters it, the output could be a new data set, which would simply appear in the current shared data context as such. Of course the results need not be explicitly stored, nor even explicitly reside in the framework — the information added to the shared data context could simply be the handles to the generated rasters (in the preserver).

- 20 The aim of a process is to embed in the system the logic that guides the user from one activity to the next. Thus each activity performs some tasks (generally based on user input), and then makes some changes to the shared data. It also generates one or more attributes that can be used for control flow (this is separate from the shared data context).
- 25 The framework examines these control attributes whenever they are changed to determine if they indicate that another activity is to be run. This determination is made by some programmable logic which examines these control variables. As mentioned earlier, this could be implemented in the framework, or perhaps even externally (e.g., in a work-flow engine).

- 30 User control of flow is provided. The default behavior is for activities to be sequenced by the pre-programmed logic, but in some cases it is useful for the user to be able to jump back and forth between activities in the process. This is achieved using the navigation UI provided in the sub-process area of the user interface. However it is possible

that not all activities in a process may be runnable at any given time (e.g., some might need additional information that would normally be generated by skipped activities). The framework will have to handle this by precluding such transitions, or by putting the selected activity into an active, but inoperable, state.

5 Referring to figures 5(a) and (b) a viewing and reading application implementation is shown. In this configuration the product is hosted by a web browser. At the simplest level, the functionality could simply be a 2D viewer, with the series to view specified separately (e.g., using a form or some other control embedded in a web page). The input then is a specification for a data-set to view, and the location of the DICOM server from
10 which the data are to be obtained.

 The imaging part of the page would simply be a rectangular region. The designer would achieve this using a simple 2D viewing component without the remainder of the Framework. In this case there will not be a shared tools area, and the normal Framework services (like shared data and navigation) will not be available (but they are not needed in
15 this case). The important point is that this single component (the 2D viewer) is the same component that can work with the Framework. In this case where the Framework is not present, it will sense that, and so not rely on those services. The container (e.g., a script) will have to supply the appropriate information and user input to control the behavior of the activity.

20 Thus the piece of the architecture used by this application is a 2D viewing component. This control will display a layout in the work area. It will be provided the input data-set explicitly though appropriate APIs. It will setup the appropriate PrS pipelines, and allow control of layout, zoom/par., W/L, etc. Any control of its behavior could be provided externally (e.g. from other controls displayed on the web page, with the
25 wrapper script setting attributes which define its behavior). In figure 5(b) the diagram shows how the activity (the 2D viewing component) will operate behind the scenes, in order to support this application.

 In a hypothetical product scenario a user of the Framework may want to perform the following sequence of actions:

30 Select Series
 Call 2D Viewer
 Define an ROI and save it
 Arrange images

Hardcopy

Steps Involved

The steps involved and actions resulting are:

5 Framework instantiated from a host/form (e.g. VB or a web browser). The initial configuration is based on parameters stored in a local database. The following information would be extracted from the database at startup:

Candidate process definitions

Navigation components

Selection components

10 Tool components

UI configuration

Process definitions

The configuration database would also know about configuration issues for individual components.

15 Patient Selection shows up (takes over space)

Selector queries DB using OLE-DB and gets patient list

Select patient

Patient selected -- gets DB name/handle from shared data context

User makes selection. This results in the selector creating a context (one patient).

20 A patient object is created which stores, for example, an associated OLE-DB handle as a property of this object.

User selects Series/Images. The images are listed in the context, however, they are "empty" image objects at this point.

Somehow, process is selected. This could be explicit, or implicit.

25 Now the navigation area is instantiated.

The first activity is started (e.g. 2D viewer). The activity takes over the UI area.

Activity (2D viewer) indicates which tools it wants (e.g. slider on right edge)

2D viewer creates default layout and displays images.

2D viewer installs mouse handler

30 2D viewer asks for tools. For example, W/L class tool, pan and zoom tool, etc.

Framework looks up classes and instantiates classes into tools containers (e.g. by default, use tabs)

User drags zoom tool -> tool communicates to framework -> framework calls back activity

User selects viewport and drags mouse -> activity relays info to framework -> framework calls tool, which updates information (e.g. W/L in framework), and

5 framework calls back activity

Define ROI in some context (tool?) -> store ROI in context (?)

Arrange images with another tool

HC tool; HC functionality (part of 2D viewer, or separate HC component?)

Figures 6 and 7 show the objects which are active when there are two process
10 instances (and hence shared data contexts) active. Most of these objects (except the tools and activities components) exist within the Framework component, and so are not directly visible externally (but activities do use the services provided by these objects — as exposed through the activity support interfaces). Figure 6 represents the highest level — the Framework and the contexts it manages, while figure 7 expands the view of a single
15 process instance (context).

Framework component — break-down

PROGRAM DATABASE INTERFACE

The database contains a list of named protocols.

20 For each protocol, there is a list of activities, with specified logic for sequencing.

Each activity is identified by a name, and includes information that allows it to be instantiated (e.g., via a class-id, or URL for the code).

Each activity can also have a set of process-specific properties (properties of the activity class are expected to be handled directly by that component, e.g., using the
25 registry).

Process instance manager

The Framework allows the user to work on more than one "task". There can thus be more than one process instance, and each process instance has a manager object. This
30 object manages:

- a process (i.e., the sequence of activities)
- a control flow manager
- a control properties manager
- a shared data manager
- 5 • an interactive properties manager
- a shared tools manager

Control flow manager

This object manages the lifetime of the activities in a process. It:

- instantiates and destroys the activities
- 10 • makes each activity active or inactive depending on the navigation
- supports automated transition between activities based on the sequence control and the control properties

Control property manager

- 15 This object manages sets of properties that are used by the flow control manager to perform automated sequencing. These properties need not be persistent, but it may be necessary to initialize them based on values from the process database. The functions that this object performs thus are:

- set the value of an arbitrary property
- notify the flow control manager on changes to a property

20 Interactive property manager

This object manages sets of properties that are the means for communication between the tools and each activity. The Framework maintains the mapping between a tool instance and an activity, so that the same tool class can be used by more than one activity in a process.

- 25 Both the tools and the activities can get and set these properties, and can be notified of their changes.

Shared data manager

This object:

- manages a set of (arbitrary) objects. These objects are the mechanism for passing data between activities.
- allows the set and get of arbitrary properties on these objects.
- properties values have types: string, int, float, etc.
- 5 • properties also have a "callback" modifier, whereby an object can register as a provider of some value, and is then called whenever that value is requested. This mechanism allows deferral of tasks that might be expensive.
- provides events to components that have registered an interest in that property of an object.
- 10 • Forwards requests to the registered provider when made to a property of an object which has a callback modifier.

Tool manager

- Like the "program database", this module has an interface to the database which includes the mapping of tool names to ActiveX controls that implement those tools. This
- 15 object also manages the life-time of the tools — it inserts them into the "tool container" (which is a configurable UI component), based on the preferences of the current activity.

UI manager

- This object manages the full user interface area, which is divided into 4 sub-regions — the work area, and the 3 control areas. There needs to be some configurability
- 20 at this level — e.g., the relative sizes and positioning of these areas. The design should also consider allowing user interaction to move the regions (e.g., "dock-able" regions, as used by Visual Studio).

1.1.1.1 Process area

The registered process navigator will be instantiated within this area.

25 1.1.1.2 Activity navigator

The registered sub-process navigator will be instantiated within this area.

1.1.1.3 Common tools area

The registered tool container will be instantiated within this area.

1.1.1.4 Work area

The current activity (ActiveX control) is installed here.

Activity support interfaces

- 5 These interfaces are provided to the writers of activities. The notation used here does not imply a specific design — the names used should be interpreted as tags to identify the required functionality.

Configuration

- 10 This facility provides configuration information that is specific to this protocol — i.e., properties that are associated with this instance of the activity in this process definition. Class properties are expected to be handled directly by the component (e.g., using the registry).

Save persistent properties of the activity	FwPPSave
recover persistent properties of the activity	FwPPStore

Shared Data

- 15 This functionality is needed for handling shared data which is in the "current shared data context" — i.e., the shared data context associated with the process instance in which the activity is running.

create object	FwSObjCreate
delete object	FwSObjDelete
locate object	FwSObjLocate
release handle reference count	FwSObjRelease
link objects	FwSObjLink
unlink objects	FwSObjUnlink
traverse object tree	FwSObjTraverse

set property on object	FwSObjPropSet
get property from an object	FwSObjPropGet
register for notification of change of an object property	FwSObjPropRegister
register as the provider of the value of an object property	FwSObjPropProvide

Tools

register interest in a tool class	FwToolNeed
register interest in changes to tool properties (Interactive property manager)	FwToolPropRegister

Control

set a property in the control context	FwCtrlPropSet
---------------------------------------	---------------

Utility

determine if the component is being run with a supporting Framework	FwIsFramework
---	---------------

5 Tool support interfaces

These interfaces are intended for the writer of a tool component:

Set an output property	FwToolPropSet
Get an output property	FwToolPropGet
register for notification of changes to an input property	FwToolPropRegister

Process Navigator support interfaces

- 5 The process navigator needs to provide a UI for switching between process instances, creating and destroying a process instance, and for selecting data and a process to run. It also needs to display some status information (like the current patient), and so will use the "Shared Data" interfaces and OLE-DB for those purposes.

Process instance management

Create a context	FwCtxtCreate
destroy a context	FwCtxtDestroy
set context as current (this will trigger activities like switching of the current instances with the new ones for the UI areas: sub-process, tools, and work-area).	FwCtxtActive
interact with the current context (as above "Shared data context").	FwCtxtList FwCtxtObjLocate FwCtxtObjRelease FwCtxtObjPropGet
Locate data selector and instantiate it.	

Process definition support interfaces

determine location of definition library (bootstrap from registry) and open it	FwLibOpen
close library	FwLibClose
list stored routines	FwLibProcessList
recover properties of a routine	FwLibProcessGet
pass selected process to control manager	FwCtrlStart

Sub-process navigator support interfaces

obtain list of activities in current process with a graph of execution control	FwCtrlActList
determine current activity	FwCtrlActNow
switch current activity to another in the process	FwActSwitch
get notification of changes — i.e., when the Framework has switched activities.	FwCtrlRegister

A Process control component

- 5 This component is an example that can be used in a demo to show the process navigation capabilities. It should:

display information about the current context (e.g., patient and process)	FwSDObjPropGet
allow switching between contexts, if there are more than one active.	FwCtxtList
Allow the creation of a new context with a configurable data selector.	FwCtxtActive
	FwCtxtCreate
	FwSDObjCreate
	FwSDObjPropSet

A Data selector component

- 10 This component will use OLE-DB directly for the browsing of the data sources and for traversing the hierarchy of patient/study/series.

This component will also use the interfaces defined for "Process definition" (above).

The selected data-set will be specified by creating the appropriate objects in the current shared data context (the "Shared Data" APIs listed above).

FwSDObjCreate
FwSDObjPropSet

A sub-process navigator component

This component is an example that can be used in a demo to show the sub-process navigation capabilities. It should:

Display information about the current activity (e.g., its name).

FwCtrlActNow

Provide a UI to allow selection of other activities in the current process.

FwCtrlActList
FwCtrlActSwitch
FwCtrlActRegister

5

A tool container

This component is simple a container for a set of tools. It may be that an existing ActiveX component can be used directly (e.g., a tab-card controller would be useful for the demo).

10 2D Viewer — an activity

This component is an "activity" — it will thus use the activity support interfaces that are listed above. When this component is active, it will have ownership of the work area. When it is created, it will:

register interest in a set of tools

FwToolNeed

set mappings between a set of properties it defines in the shared data context, and output values from the tools.

FwToolPropSet
FwToolPropRegister

express interest in changes to the properties it is using for tools control. FwSDObjPropRegister

set its class-specific default properties (e.g., recover from the registry)

recover instance-specific configuration (via the Framework) FwPPGet

When the activity first becomes active, it will:

locate the current data-set in the shared data context FwSDObjLocate

locate the data source — e.g., the series and the OLE-DB provider, with the row handles for each image to be displayed.

setup the appropriate UI (e.g., layout).

connect to the preserver, and determine if it needs to load data from the data source (this would not be needed if the data were already loaded — which is indicated by the raster handles stored on the appropriate objects in the shared data context).

The component should respond to new input — user interaction in the work area, or input from the tools:

based on the layout and user actions, and the current loaded status, request data to be loaded (via OLE-DB).

perform the requested operations (e.g., zoom, pan layout change, etc).

5 When the activity is being destroyed, it should:

request that the framework save any instance-specific parameters that need to be preserved across runs (and are specific to this process definition).

FwPPStore

FwSObjRelease

A tool — 2D zoom/pan control

This component will provide a simple UI to allow the user to set zoom and pan. On creation it should:

create the UI

recover the default values for the control from the interactive (tool) property manager (those values are in turn mapped from the setting owned by the activity, which may have set default values when it was created).

FwToolPropGet

Register for notification of changes to those properties.

FwToolPropRegister

If this control is also going to respond to mouse events in the work area, it should register for notification of changes to those also (again achieved via a property).

set the UI elements to the default values.

When the user changes a UI element, it should:

set the corresponding property in the interactive property manager (which will subsequently result in notification to the activity of the properties it owns).

5

When the activity itself changes a property watched by the tool, the tool should:

update its UI to reflect the new settings.

A tool — window width and level control

This is basically the same as the above example, except that the UI and properties will be different.

5 Figure 8 is a further example of an overview of the components that may be instantiated during some scenario. At the top level, there is an ActiveX control called "Framework". This control is also a container, and it manages the division of the UI amongst the controls it contains. In addition to partitioning the UI, this container creates and manages some controls. The UI configuration and the identity of the controls is
10 obtained from the registry, and then from the process database (the definitions of the processes are contained there).

 The component labeled "process selector UI" is identified in the configuration, and is created and displayed in the UI once the Framework starts. It in turn can display a configurable "data selector" control, which allow selection of data set(s) to use, and the
15 process template to associate with it.

 The Framework supports multiple process instances (or contexts), and this is indicated in the above diagram by a component labeled "FProcess 1", and another labeled "FProcess 2". This object is actually part of the Framework (it will be delivered within the same dll). However it may be more convenient to keep this as a separate control, even
20 though it is not expected that it will be used without the Framework container. [This is still to be determined - the current plan is to implement this as a class used internally within the Framework, but if it looks like it will be easier to manage the separate processes as separate COM objects, then this object will be implemented that way (but still delivered as part of the same dll).]

25 The FProcess is itself a container. It is concerned with a single process definition, and has an associated data context. The creation of a FProcess requires the provision of a process template, as well as the information required to identify the data - e.g., definitions of the data objects to be shared (this is handled inside the FProcess, and so not represented directly in the diagram).

30 An FProcess instance manages 3 of the UI areas (sub-process navigator, tools area, and work area). The sub-process area is populated by a control - which is again

configurable. The identity of this class will be recovered by the Framework, and made available to FProcess.

Similarly the "tool manager" component is configurable, and its identity will be recovered and made available to the FProcess by the Framework. FProcess will manage the controls which represent the "tools", and the controls which are the "activities". The identities of these are contained in the process template, which is provided to the FProcess component when it is initiated.

The process selection area can be populated by this control (as configured). Its task is to allow the selection between active tasks, and the creation or new processes. It can have quite a simple UI as shown in figure 9 where the "new process" push-button results in the use of another control - one from the class of "data selectors". This control is also configurable, and its role is to provide a user interface for the selection of a process template and a data-set (or data-sets) for subsequent use by activities in the Framework. The "finish process" button stops the currently active process (the current process is the one displayed in the drop-down list box).

The following describes an example data selector. This configurable control is instantiated by the "process selector" (above), and it is responsible for determining the data and process to use. Typically this would be achieved by allowing the user to select a data-set (or multiple data-sets), and then a process (protocol) to use with this data. However different models can also be used - e.g., the process may need to be selected first, with that determining the sub-set of possible data-sets that can be chosen. Another model is one where the data-set is determined externally (e.g., in an enterprise work-flow engine), with even the process (protocol) pre-determined. In this case the selector need only present a list of possible work items to the user (selection of one implies a data-set and a process to use).

In general, there are different selection mechanisms that may need to be supported, depending on the process (protocol). For example:

- single image selection •single series (for 3D, MPR, etc.) •two series (for Fusion)
- multiple series, single Study or Patient (review, reading) •arbitrary selection

The example data selector will be rely on the assumption that data is stored in the conventional Patient-Study-Series-Image hierarchy, and it will allow arbitrary selection of images and processes independent of each other. In addition, it will show those Patient,

Study, Series and Image objects that are currently represented in the shared data context, for demonstration purposes.

The User Interface will be organized in the form of tab cards, with three different views.

- 5 The first view, on the tab "Database Selection" displays a hierarchical view of the Database-Patient-Study-Series-Image hierarchy on the left side of the control, and the list of images of the current Series in a list on the right side of the control. Two buttons allow the user to select either a subset of the listed images, or all of them.

- 10 The second view shown in figure 11, on the tab "Shared Context" is similar, but it shows the current contents of the shared data context as well.

- 15 The third view shown in figure 12 also repeats the hierarchical view of the database. It provides a simple list and button for selection of the desired process (protocol). The example data selector will be implemented in Visual Basic. There are two advantages to this: firstly, a large portion of this control will be user interface, and Visual Basic allows very fast and convenient creation of user interfaces; secondly, it is not unlikely that customer will want to create activity components in Visual Basic - it is therefor useful to accumulate some experience with it.

The user scenario will be quite simple:

- 20 1. The user will first be presented with the Database Selection tab. The user will navigate down the database hierarchy and select one or more images from one or more series and patients. 2. The user will then select the Shared Context tab, to verify that the shared context contains exactly the data that are required. 3. The user will select the Process Selection tab to select the protocol to be executed for the data.

- 25 Some logic may be implemented to force the selection of at least one image and at least one process.

In terms of interactions with other components of the system, the example data selector will interface with

- The database; the available databases will be loaded from the system registry, and accessed through ADO
- 30 • The shared context; objects and properties will have to be retrieved from and created in the shared context
- The process database; available process have to be retrieved

The selection area; this is the container for the data selector, and it needs to be notified of the selected process

The data selector is an ActiveX control with some design time behavior (the tab selector will be active, and the various lists and buttons will move and size with the control).

Although the invention has been described with reference to certain specific embodiments, various modifications thereof will be apparent to those skilled in the art without departing from the spirit and scope of the invention as outlined in the claims appended hereto.

THE EMBODIMENTS OF THE INVENTION IN WHICH AN EXCLUSIVE
PROPERTY OR PRIVILEGE IS CLAIMED ARE DEFINED AS FOLLOWS:

1. A framework for an application comprising:
 - 5 a. a process consisting of a set of activities;
 - b. a sub-process comprising an aggregation of said activities;
 - c. one or more activities.
2. An apparatus as shown and described herein.
- 10 3. A method as shown and described herein.

ARCHITECTURE FOR AN APPLICATION FRAMEWORK

ABSTRACT

This invention describes an application framework and method. The framework provides means for encapsulating a set of data plus a definition of the process steps to be applied thereto. A user interface is provided comprising a work area means for displaying results of a process, a process selection area means for selecting a predetermined process, a sub-process navigation area means and a tools area means for displaying a plurality of tools for the process being displayed.

5

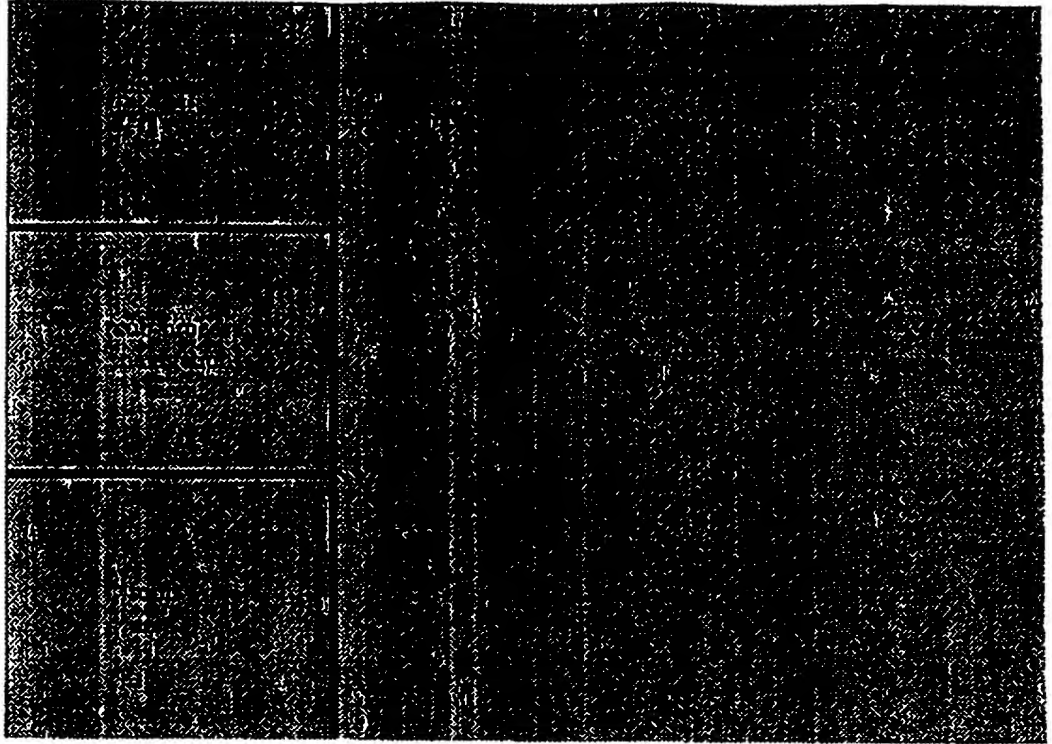


Figure 1

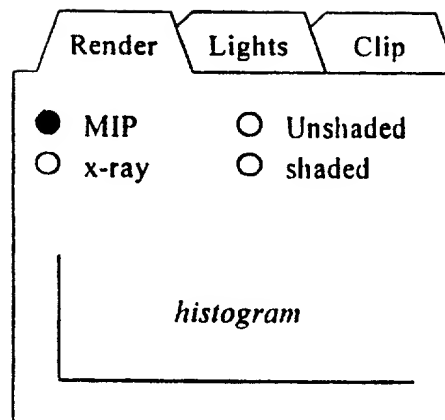


Figure 2

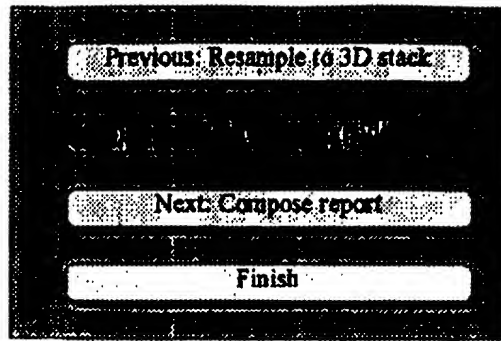


Figure 3

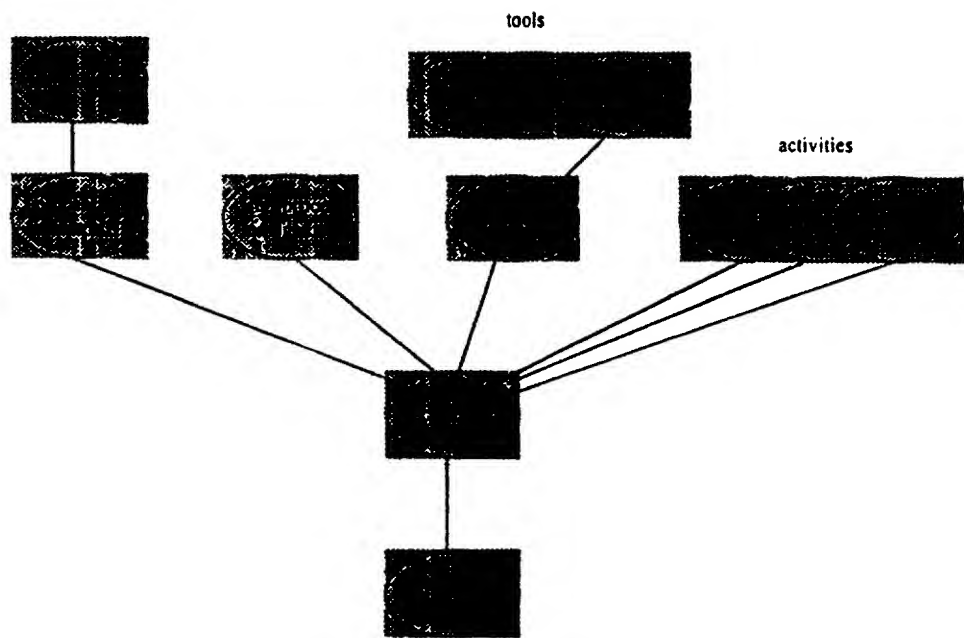


Figure 4

Patient Name

Date of exam
 referring physician
 reason for exam
 particulars of exam procedure
 link to previous report
 link to patient file

Work area

Previous Next

Area for user to enter a free-form text report. This is handled by the html form, and sent back to the archive when "Done" is pressed.

Done

Figure 5(a)

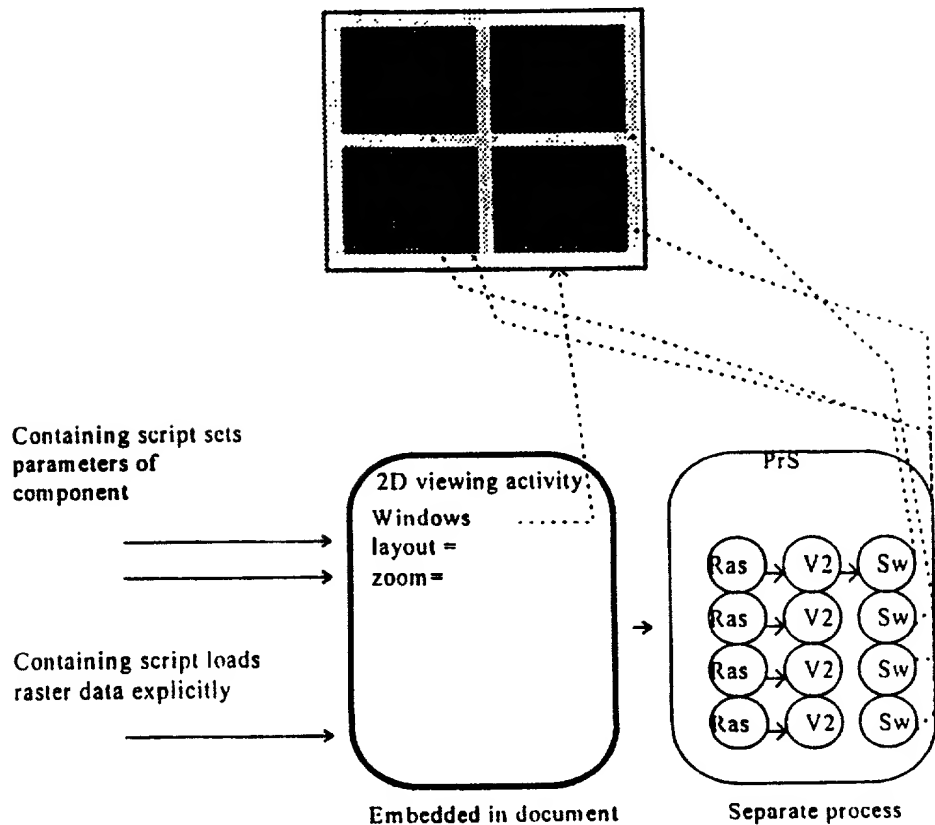


Figure 5(b)

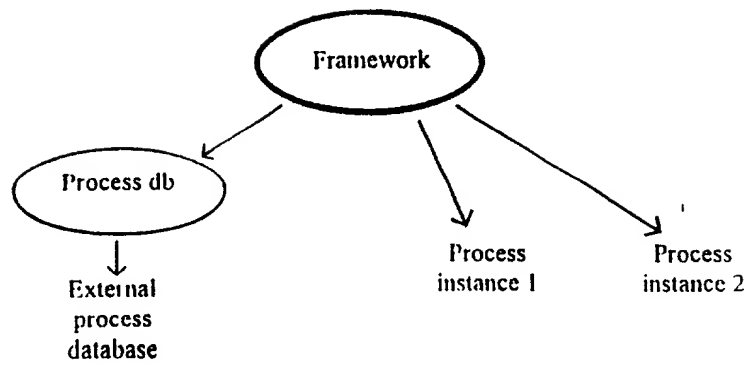


Figure 6

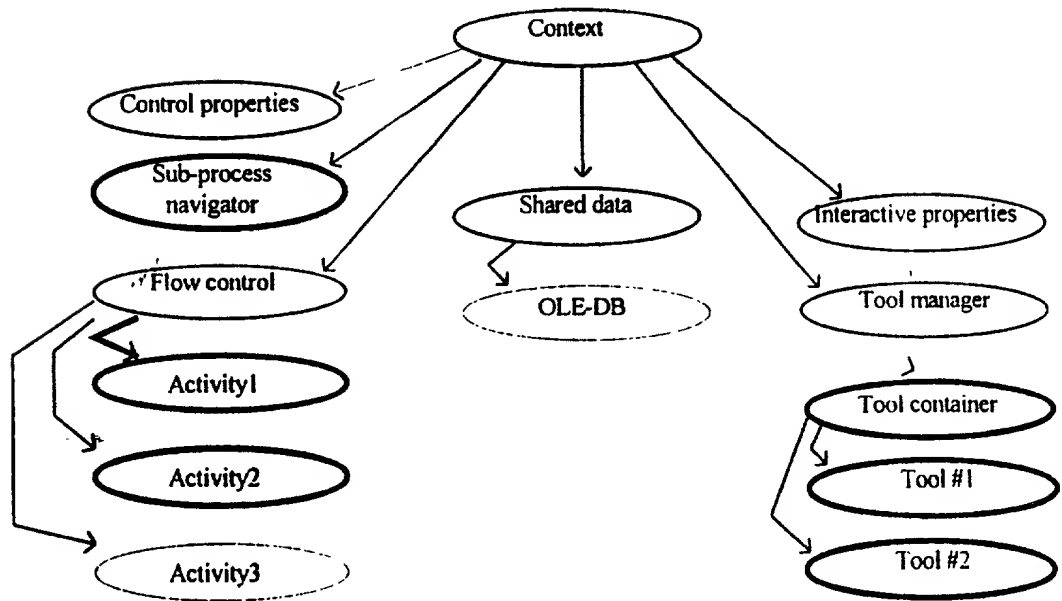
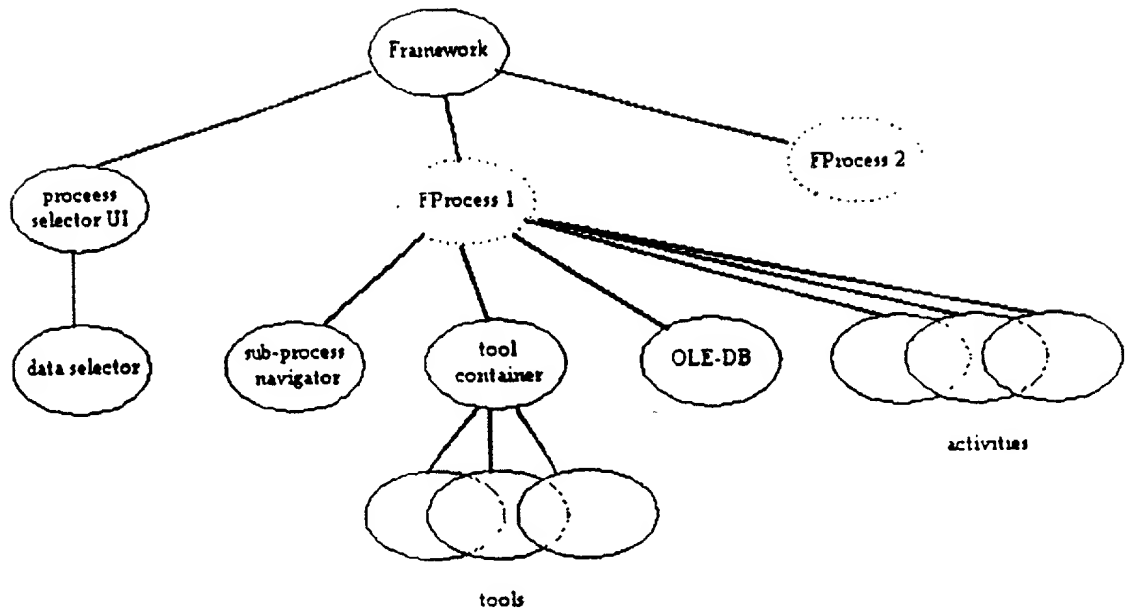


Figure 7

Figure 8



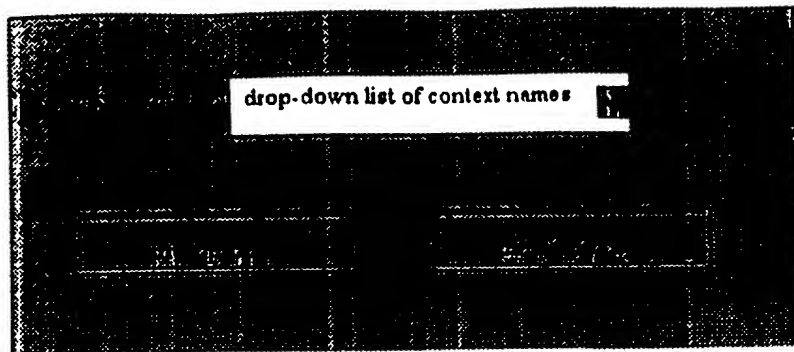


Figure 9

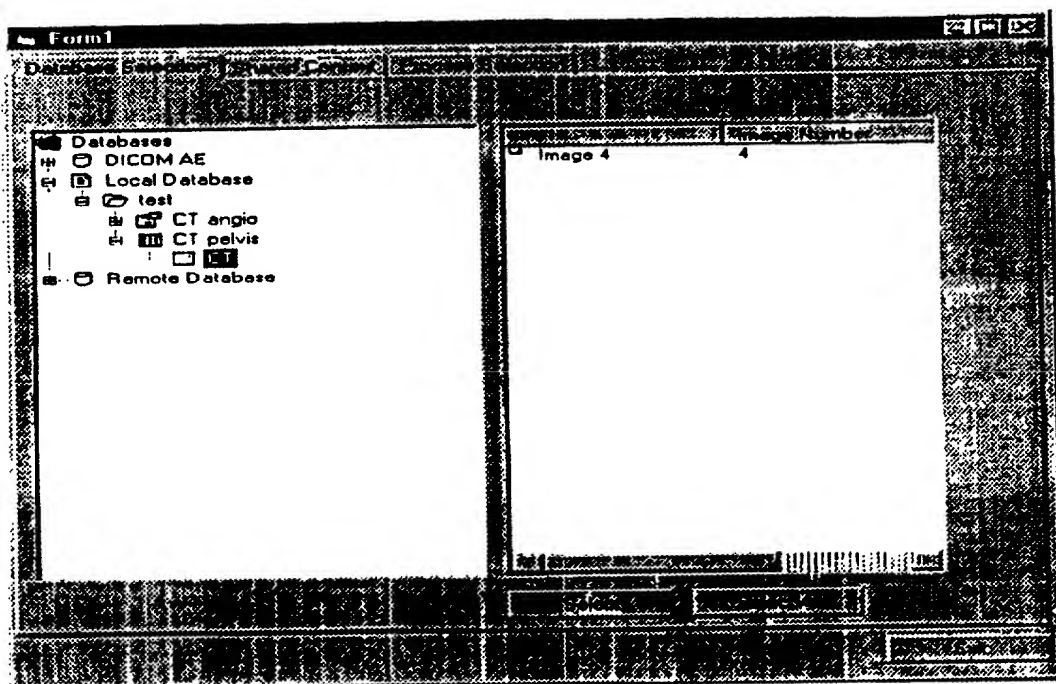


Figure 10

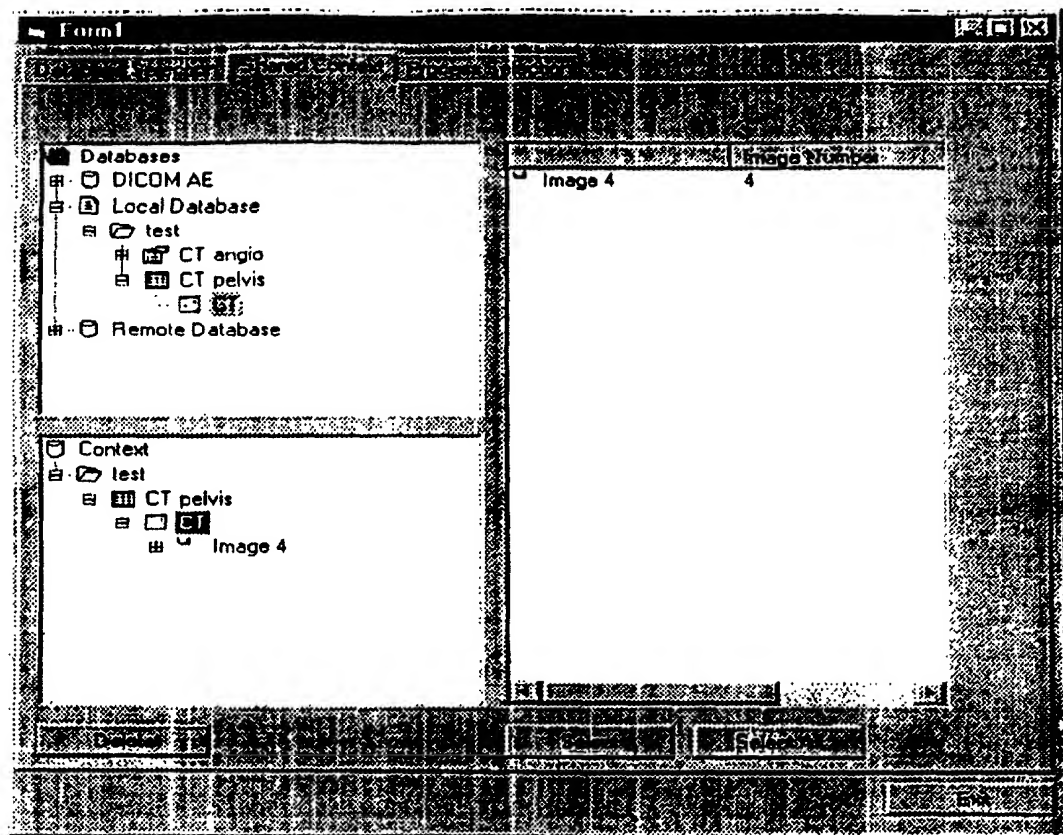


Figure 11

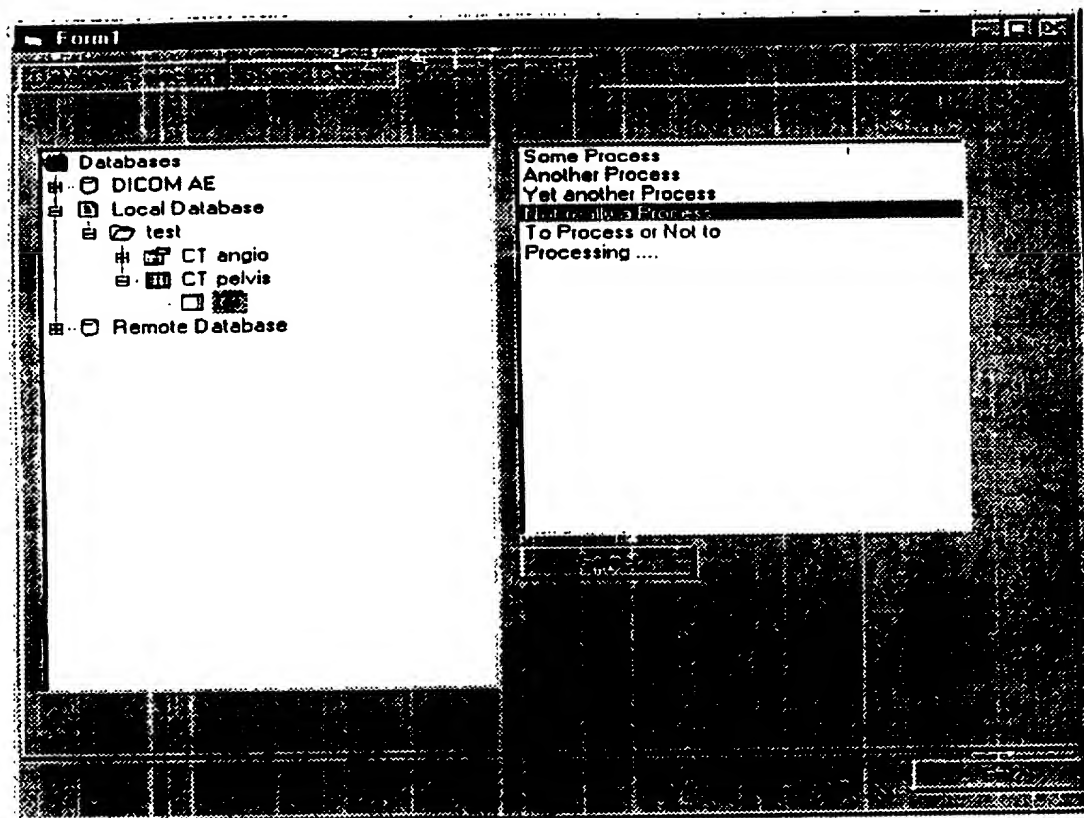


Figure 12

Docket No.
00249-0087

Declaration and Power of Attorney For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Architecture for an Application Framework

(Continuation of PCT/CA98/01099 filed on December 1, 1998)

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on December 1, 1998 as United States Application No. or PCT International Application Number PCT/CA98/01099 and was amended on _____

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

<u>08/982,280</u>	<u>United States</u>	<u>01/December/1997</u>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
<u> </u>	<u> </u>	<u> </u>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
<u> </u>	<u> </u>	<u> </u>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

PCT/CA98/01099

December 1, 1998

Pending

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

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Second inventor's signature	Date
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